

10/554028

Practitioner's Docket No. 2793/112

JC20 Rec'd PCT/PTO 20 OCT 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chunquan Chen et al

Application No.: PCT/CA2004/000606

Filed: April 22, 2004

For: Use of Lipo-Chitooligosaccharides to Initiate Early Flowering and Fruit Development in Plants and Related Methods and Compositions

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INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
3. Copies of Listed Information Items Accompanying This Statement
4. Identification of Person(s) Making This Information Disclosure Statement

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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** Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.*

Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chunquan, Chen, et al

Attorney Docket: 2793/112

Serial No: PCT/CA2004/000606

Art Group Unit: To be assigned

Date Filed: October 20, 2005

Examiner Name: To be assigned

Invention:

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE STATEMENT

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|------------------|-----------------|---------------|----------------|----------------|
| Examiner Initials | Reference Number | Document Number | Issue Date | Inventor | Class/Subclass |
| | AA | 5,175,149 | Dec. 29, 1992 | Stacey et al. | 514/23 |
| | AB | 5,549,718 | Aug. 27, 1996 | Lerouge et al. | 47/57.6 |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|------------------|--------------|-----------------|------------------|-----------------------|----------------|
| Examiner Initials | Reference Number | Country Code | Document Number | Publication Date | Patentee or Applicant | Class/Subclass |
| | AC | WO | 00/04778 | 3 Feb. 2000 | Smith, D. | |
| | AD | WO | 01/26465 A1 | 19, Apr. 2001 | Smith, D. | |

| OTHER DOCUMENTS | | | |
|-------------------|------------------|-----------------------|--|
| Examiner Initials | Reference Number | Author | Title of Article, Title of Journal, Volume Number, Page Numbers, Date |
| | AE | B. Prithiviraj et al. | A Host-Specific Bacteria-to-Plant Signal Molecule (Nod Factor) Enhances Germination and Early Growth of Diverse Crop <u>Planta</u> , Jan. 2003, 216: 437-445 |
| | AF | Bonnell, A. et al. | The Impact of LCO Spray Application on Growth of Soybean Under Water Stress, Accession from STN 2003:117350 CABA |
| | AG | | International Search Report |

Examiner Signature: _____

Date Considered: _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation *if not* in conformance and not considered. Include copy of this form with next communication to applicant.

Section 3. Copies of Listed Information Items Accompanying This Statement


Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

Section 4. Identification of Person Making This Information Disclosure Statement

The person making this certification is:

- a. the practitioner who signs below on the basis of the information in the practitioner's file.

Date: October 20, 2005



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